

**STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES**

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Electronic Filing: www.maine campaign finance.com

**2004 CAMPAIGN FINANCE REPORT -
PRIVATELY FINANCED LEGISLATIVE
AND COUNTY CANDIDATES**

(Please Complete ALL Entries)

Name of CANDIDATE Susan M. Austin

Mailing address 136 Yarmouth Road

City, zip code Gray ME 04039

Telephone number 657-4100 Fax _____ E-mail _____

Name of Candidate's Committee, if any Com. to Elect Sae Austin

Election Year 2004 Office Sought State Rep. District Number 109

CHECK IF CHANGED
SINCE PREVIOUS
REPORT ☐

Name of TREASURER Clifford Foster

Mailing address P.O. Box 157

City, zip code Gray ME 04039

Telephone number 657-4756 Fax 657-4441 E-mail _____

CHECK IF CHANGED
SINCE PREVIOUS
REPORT ☐

Type of Report (check applicable):

- () January 2004 Semiannual*
() 6-Day Pre-Primary
() 42-Day Post-Primary
(X) 6-Day Pre-General
(X) 42-Day Post-General

Due date:

- January 15, 2004
June 2, 2004
July 20, 2004
October 27, 2004
December 14, 2004

Period included:

- Beginning of campaign - December 31, 2003
Last Report (if any) - May 27, 2004
May 28, 2004 - July 13, 2004
July 14, 2004 - October 21, 2004
October 22, 2004 - December 7, 2004

*This report is required only for candidates who have raised or spent more than \$500 during 2003.

() Amendment to: _____

() Other (specify): _____

Cumberland County, State of Maine
On this 25th day of October, 2004
Susan M. Austin
personally appeared before me and acknowledged
that he/she executed the foregoing instrument.

Notary Public
My commission expires January 3, 2010

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT AND COMPLETE.

Clifford Foster
Treasurer's Signature

10/25/04
Date

Susan M. Austin
Candidate's Signature

10/25/04
Date

Susan M. Austin
CANDIDATE'S FULL NAME

Page ____ of ____
(Schedule A only)

**SCHEDULE A
CASH CONTRIBUTIONS**

Itemize each cash contribution of more than \$50 from the same source during the reporting period. Total contributions from the same source may not exceed \$250 in any election. The primary and general elections are considered separate elections. Do NOT include in-kind contributions on this schedule.

DATE RECEIVED	CONTRIBUTOR'S NAME, ADDRESS, ZIP (totaling more than \$50 this report period)	OCCUPATION, PRINCIPAL PLACE OF BUSINESS (as applicable)	TYPE (use key code)	AMOUNT	TOTAL (from same source this election)
8/6/04	Me. Innkeepers Assn PAC 305 Commercial St. Portland, ME 04101	PAC Portland	4	100	
9/2/04	Time For A Change 15 Abigail Way Scarborough, ME 04107	PAC Scarborough	4	150	250
9/8/04	Me. Medical Assn. P.O. Box 190 Hallowell, ME 04351	PAC Hallowell	4	150	
9/8/04	National Distributor, Inc. 116 Wallace Ave. So. Portland, ME 04106	Door Distributor So. Portland	3	200	
9/20/04	Plum Creek Marketing, Inc. P.O. Box 1990 59912 Columbia Falls, ME	Marketing, Sales Columbia Falls Hallowell	3	250	
10/8/04	House Republican Fund P.O. Box 5629 Augusta, ME 04332	House Rep. Political Party Augusta	5	250	
10/19/04	Built 42nd PAC P.O. Box N Augusta, ME 04332	PAC Augusta	4	100	

1. Total cash contributions (this page only)

1200

Key Codes:

1 = Candidate and Candidate's Spouse

2 = Other Individuals

3 = Commercial Sources (corporations, etc.)

4 = Political Action Committees

5 = Political Party Committees

6 = Other Candidates and Candidate Committees

Susan M. Austin
CANDIDATE'S FULL NAME

**SCHEDULE A (Summary)
SUMMARY OF CASH CONTRIBUTIONS**

Enter total of all Schedule A pages. Summarize and enter total for each Schedule A key code. Enter aggregate of cash contributions \$50 or less each. Total of all Schedule A pages must equal total of all key codes.

TOTAL ALL SCHEDULE A PAGES

Must Equal Total All Key Codes Below

1200

Total Number of Schedule A Pages 1

**Key
Codes**

**Totals by Key Code from
Schedule A pages**

1 Candidate and Candidate's Spouse

0

2 Other Individuals

8

3 Commercial Sources (corporations, etc.)

450

4 Political Action Committees

508

5 Political Party Committees

250

6 Other Candidates and Candidate
Committees

0

TOTAL ALL KEY CODES

Enter on Schedule G, line 2(a), Col. 2

1200

**Aggregate Cash Contributions \$50 or Less Each
(Not Itemized by Key Code)**

Enter on Schedule G, line 2(b), Col. 2

0

Susan M. Austin

CANDIDATE'S FULL NAME

Page 1 of 1
(Schedule A-1 only)

SCHEDULE A-1 IN-KIND CONTRIBUTIONS/EXPENDITURES

Itemize all goods, services, facilities, or discounts received and expended, including their estimated fair market value.

Enter contributor information if the fair market value of donated item or service is more than \$50.

Total contributions from the same source may not exceed \$250 in any election.

The primary and general elections are considered separate elections.

DATE RECEIVED	CONTRIBUTOR (name, address, zip code, occupation, principal place of business)	DESCRIPTION (of goods, services, facilities, or discounts received and expended)	TYPE (use key code)	VALUE (estimated fair market value)	TOTAL (from same source this election)

1. Total in-kind contributions/expenditures more than \$50 each
(this page only)

0

Key Codes:

1 = Candidate and Candidate's Spouse

2 = Other Individuals

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5 = Political Party Committees

6 = Other Candidates and Candidate Committees

Susan M. Austin
CANDIDATE'S FULL NAME

**SCHEDULE A-1 (Summary)
SUMMARY OF IN-KIND CONTRIBUTIONS/EXPENDITURES**

Enter total of all Schedule A-1 pages. Summarize and enter total for each Schedule A-1 key code. Enter aggregate of in-kind contributions/expenditures of \$50 or less each. Total of all Schedule A-1 pages must equal total of all key codes.

TOTAL ALL SCHEDULE A-1 PAGES

Must Equal Total All Key Codes Below

Total Number of Schedule A-1 Pages _____

**Key
Codes**

**Totals by Key Code from
Schedule A-1 pages**

1 **Candidate and Candidate's Spouse**

2 **Other Individuals**

3 **Commercial Sources (corporations, etc.)**

4 **Political Action Committees**

5 **Political Party Committees**

6 **Other Candidates and Candidate
Committees**

TOTAL ALL KEY CODES

Enter on Sched. G, lines 2(c) & 7(f), Col. 2

**Aggregate in-kind contributions/expenditures \$50 or Less
Each (Not Itemized by Key Code)**

Enter on Sched. G, lines 2(d) & 7(g), Col. 2

Susan A. Austin
CANDIDATE'S FULL NAME

**SCHEDULE B
EXPENDITURES**

Page 6 of 6
(Schedule B only)

Itemize each expenditure made or authorized during the report filing period by category of the purpose for that expenditure. Use "Other" and "Remarks" to include and explain any expenditure that may not be clearly itemized under one of the other categories.

DATE EXPENDITURE MADE OR AUTHORIZED	NAME OF EACH PAYEE	GENERAL OPERATIONS (Fundraising, travel, equipment, etc.)	ADVERTISING (Radio, TV, newspaper, etc.)	PRINTING / POSTAGE, etc. (Direct mail, campaign lit., signs, etc.)	SALARIES & COMPENSATION	OTHER (Describe purpose in remarks)	REMARKS
7/24/04	Bangor Letter Shop			320.33			
9/28/04	Visionary Signs		57.75				
10/21/04	Bangor Letter Shop			179.74			
1. Total expenditures this page only (Total each column)			57.75	2100.07			
(Complete lines 2 and 3 on last page of Schedule B only)							
2. Total from attached Schedule B pages							
3. TOTAL EXPENDITURES BY CATEGORY (add lines 1 and 2)		Enter on Schedule G, line 7(a), Col. 2 a.	Enter on Schedule G, line 7(b), Col. 2 b.	Enter on Schedule G, line 7(c), Col. 2 c.	Enter on Schedule G, line 7(d), Col. 2 d.	Enter on Schedule G, line 7(e), Col. 2 e.	

Susan M. Austin
CANDIDATE'S FULL NAME

Page 1 of 1
(Schedule C only)

SCHEDULE C LOANS/LOAN REPAYMENTS

List loans from the candidate or candidate's spouse, other sources, and from any financial institution in this State.
A loan that is forgiven must also be reported as a contribution on Schedule A.

PART I - LOANS/LOAN REPAYMENTS - CANDIDATE OR CANDIDATE'S SPOUSE

PART I—LOANS/LOAN REPAYMENTS—CASH/IN-KIND CONTRIBUTIONS					
		COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4
DATE OF LOAN/LOAN REPAYMENT	IDENTITY OF LENDER	LOAN BALANCE FROM PREVIOUS PERIOD	AMOUNT LOANED THIS PERIOD	AMOUNT REPAYD/ FORGIVEN THIS PERIOD	UNPAID LOANS COLUMNS (1+2) - 3
1. Total Activity This Period			Enter on Schedule G, line 3(a), Col. 2	Enter on Schedule G, line 8(a), Col. 2	0

PART II - LOANS/LOAN REPAYMENTS - OTHER SOURCES

DATE OF LOAN/LOAN REPAYMENT	FULL NAME AND ADDRESS OF LENDER	LOAN BALANCE FROM PREVIOUS PERIOD	AMOUNT LOANED THIS PERIOD	AMOUNT REPAYED/ FORGIVEN THIS PERIOD	UNPAID LOANS COLUMNS (1+2) - 3
2. Total Activity This Period			Enter on Schedule G, line 3(b), Col. 2	Enter on Schedule G, line 8(b), Col. 2	0

PART III - LOANS/LOAN REPAYMENTS - FINANCIAL INSTITUTIONS

DATE OF LOAN/LOAN REPAYMENT	FULL NAME AND ADDRESS OF LENDER	LOAN BALANCE FROM PREVIOUS PERIOD	AMOUNT LOANED THIS PERIOD	AMOUNT REPAYED/ FORGIVEN THIS PERIOD	UNPAID LOANS COLUMNS (1+2) - 3
3. Total Activity This Period			Enter on Schedule G, line 3(c), Col. 2	Enter on Schedule G, line 8(c), Col. 2	0

4. UNPAID LOAN BALANCE AT CLOSE OF THIS PERIOD

(Add lines 1, 2 and 3 of column 4)

0

SUSAN M. Austin
CANDIDATE'S FULL NAME

**SCHEDULE D
PLEDGES**

Page 1 of 1
(Schedule D only)

Pledges from one person totaling more than \$50 must be itemized.

DATE OF PLEDGE	NAME AND ADDRESS OF PERSON MAKING PLEDGE	OCCUPATION, PRINCIPAL PLACE OF BUSINESS	AMOUNT
1. Total pledges this page only			0
(Complete lines 2 through 4 on <u>last page</u> of Schedule D only)			
2. Total from attached Schedule D pages (_____ to _____)			
3. Aggregate pledges \$50 or less each (not itemized)			
4. TOTAL PLEDGES THIS PERIOD (add lines 1 through 3)			0

Susan M. Austin
CANDIDATE'S FULL NAME

Page 1 of 1
(Schedule E only)

SCHEDULE E
TOTAL OUTSTANDING BILLS (OTHER THAN LOANS)

List unpaid bills at close of this period. List bills previously reported if still unpaid.
Do not include actual expenditures on this schedule.

DATE OBLIGATION INCURRED	CREDITOR'S NAME AND ADDRESS	PURPOSE	AMOUNT

1. Total outstanding bills this page only

(Complete lines 2 and 3 on last page of Schedule E only)

2. Total from attached Schedule E pages (____ to ____)

3. TOTAL OUTSTANDING BILLS (add lines 1 and 2)

0
0

Susan M. Austin
CANDIDATE'S FULL NAME

Page 1 of 1
(Schedule F only)

**SCHEDULE F
CAMPAIGN EQUIPMENT/PROPERTY INVENTORY**

List items with an aggregate value in excess of \$50 at close of this period. Items must be listed until aggregate fair market value is \$50 or less, or until item is reported in Part II. Include only equipment or property that may be converted to personal use and is not exclusive to the campaign such as a computer, telephone/fax, photocopier, automobile, etc. Exclude signs, stationery, campaign literature, etc.

PART I - ONGOING INVENTORY OF CAMPAIGN PROPERTY

DATE RECEIVED (from Schedule A) or DATE PURCHASED (from Schedule B)	DESCRIPTION OF EQUIPMENT OR PROPERTY	PURCHASE PRICE OR ESTIMATED VALUE WHEN ACQUIRED	FAIR MARKET VALUE (at close of this reporting period)
1. TOTAL ESTIMATED VALUE OF CAMPAIGN PROPERTY AT CLOSE OF THIS PERIOD			0

PART II - SALES OR TRANSFERS OF CAMPAIGN PROPERTY THIS PERIOD

			Column 1	Column 2
DATE SOLD, DONATED OR TRANSFERRED	NAME AND ADDRESS OF PURCHASER, DONEE, OR TRANSFEREE	DESCRIPTION OF PROPERTY	SALE PRICE OR FAIR MARKET VALUE	VALUE OF DONATION TO CHARITABLE OR EDUCATIONAL ORGANIZATION
2. TOTAL ACTIVITY FROM EQUIPMENT/PROPERTY DISPOSALS THIS PERIOD			Enter on Schedule G, line 4, Col. 2	0

Susan M. Austin
CANDIDATE'S FULL NAME

SCHEDULE G
(Page 1)
SUMMARY SECTION

Oct. 25, 2004
DATE SUBMITTED

NOTE: Please read the instructions for completing this Schedule carefully. Complete other applicable schedules before completing this schedule. Enter column 3 figures from last report in column 1 below. Enter column 2 figures for this reporting period as indicated for each line. Add columns 1 and 2 for each row and enter the sum in column 3. If this is your first report, leave column 1 blank; amounts in columns 2 and 3 will be the same.

RECEIPTS

COLUMN 1 COPY FROM COLUMN 3 LAST REPORT	COLUMN 2 TOTALS FOR THIS REPORTING PERIOD	COLUMN 3 CAMPAIGN TOTALS TO DATE (add cols. 1 & 2)
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**1. ACCOUNT BALANCE BROUGHT FORWARD
FROM PREVIOUS CAMPAIGN**

(Line 1 applies only if transferring surplus funds from a previous campaign to this new campaign.)

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2. CONTRIBUTIONS WITHOUT LOAN

(a) More than \$50 cash each – All Key Codes

(b) Aggregate \$50 or less cash each

(c) In-kind more than \$50 each – All Key Codes

(d) Aggregate in-kind \$50 or less each

(e) **TOTAL CONTRIBUTIONS WITHOUT LOANS**
[add lines 2(a) - (d)]

2593.35	From Schedule A (Summary) 1200.00	3793.35
	From Schedule A (Summary) 0	0
	From Schedule A-1 (Summary) 0	0
	From Schedule A-1 (Summary) 0	8
	1200.00	3793.35

3. LOANS

(a) Candidate and Candidate's Spouse

(b) Other Sources

(c) Financial Institutions

(d) **TOTAL LOANS** [add lines 3(a) - (c)]

	From Schedule C, line 1, col. 2	0
	From Schedule C, line 2, col. 2	0
	From Schedule C, line 3, col. 2	0
		0

4. SALE OF CAMPAIGN EQUIPMENT/PROPERTY

	From Schedule F, line 2, col. 1	0
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5. OTHER RECEIPTS (interest, etc. not included elsewhere)

		0
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6. TOTAL RECEIPTS WITH LOANS
[add lines 1, 2(e), 3(d), 4 & 5]

		3793.35
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Susan M. Austin
CANDIDATE'S FULL NAME

Oct. 25, 2004
DATE SUBMITTED

SCHEDULE G
(Page 2)
SUMMARY SECTION

EXPENDITURES

<u>COLUMN 1</u>	<u>COLUMN 2</u>	<u>COLUMN 3</u>
COPY FROM COLUMN 3 LAST REPORT	TOTALS FOR THIS REPORTING PERIOD	CAMPAIGN TOTALS TO DATE (add cols. 1 & 2)

7. EXPENDITURES WITHOUT LOAN REPAYMENTS

- (a) General Operations
- (b) Advertising
- (c) Printing/Postage, etc.
- (d) Salaries & Compensation
- (e) Other
- (f) In-kind more than \$50 each
- (g) Aggregate in-kind \$50 or less each
- (h) **TOTAL EXPENDITURES WITHOUT LOAN REPAYMENTS**
[add lines 7(a) - (g)]

	From Schedule B, line 3a 0	
	From Schedule B, line 3b 57.75	57.75
	From Schedule B, line 3c 2100.07	2100.07
	From Schedule B, line 3d 0	
	From Schedule B, line 3e 0	
	From Schedule A-1 (Summary) 0	
	From Schedule A-1 (Summary) 0	
	2157.82	2157.82

8. LOAN REPAYMENTS

- (a) Candidate and Candidate's Spouse
- (b) Other Sources
- (c) Financial Institutions
- (d) **TOTAL LOAN REPAYMENTS** [add lines 8(a) - (c)]

	From Schedule C, line 1, col. 3	
	From Schedule C, line 2, col. 3	
	From Schedule C, line 3, col. 3	

9. TOTAL EXPENDITURES WITH LOAN REPAYMENTS
[add lines 7(h) & 8(d)]

	2157.82	2157.82
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10. ACCOUNT BALANCE (subtract line 9 from line 6)

	1635.53	1635.53
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